Datasets of the Unsupervised and Transfer Learning Challenge

Report prepared by Isabelle Guyon with information from the data donors listed below:

Handwriting recognition (AVICENNA) -- Reza Farrahi Moghaddam, Mathias Adankon, Kostyantyn Filonenko, Robert Wisnovsky, and **Mohamed Chériet** (Ecole de technologie supérieure de Montréal, Quebec) contributed the dataset of Arabic manuscripts.

Human action recognition (HARRY) -- Ivan Laptev and Barbara Caputo collected and made publicly available the KTH human action recognition datasets. Marcin Marszalek, Ivan Laptev and Cordelia Schmid collected and made publicly available the Hollywood 2 dataset of human actions and scenes.

Object recognition (RITA) -- Antonio Torralba, Rob Fergus, and William T. Freeman, collected and made available publicly the **80 million tiny image dataset**. Vinod Nair and Geoffrey Hinton collected and made available publicly the **CIFAR datasets**. See the techreport Learning Multiple Layers of Features from Tiny Images, by Alex Krizhevsky, 2009, for details.

Ecology (SYLVESTER) -- Jock A. Blackard, Denis J. Dean, and Charles W. Anderson of the **US Forest Service**, USA, collected and made available the (**Forest cover type**) dataset.

Text processing (TERRY) -- David Lewis formatted and made publicly available the **RCV1-v2 Text Categorization Test Collection** derived from REUTER news clips.. **The toy example (ULE)** is the **MNIST handwritten digit database** made available by **Yann LeCun** and Corinna Costes..

				1				,	0
Dataset	Domain	Feat. num.	Sparsity (%)	Development num.	Transfer num.	Validation num.	Final Eval. num.	Data (text)	Data (Matlab)
AVICENNA	Arabic manuscripts	120	0.00	150205	50000	4096	4096	16 MB	14 MB
HARRY	Human action recognition	5000	98.12	69652	20000	4096	4096	13 MB	15 MB
RITA	Object recognition	7200	1.19	111808	24000	4096	4096	1026 MB	762 MB
SYLVESTER	Ecology	100	0.00	572820	100000	4096	4096	81 MB	69 MB
TERRY	Text recognition	47236	99.84	217034	40000	4096	4096	73 MB	56 MB
ULE (toy data)	Handwritten digits	784	80.85	26808	10000	4096	4096	7 MB	13 MB

Table 1: Datasets of the unsupervised and transfer learning challenge.

Data formats:

All the data sets are in the same format; **xxx** should be replaced by one of: **devel**: development data **valid**: evaluation data used as validation set **final**: final evaluation data

The participant have access only to the files outlined in red:

dataname.param: Parameters and statistics about the data

dataname_xxx.data: Unlabeled data (a matrix of space delimited numbers, patterns in lines, features in columns).

dataname_xxx.mat: The same data matrix in Matlab format in a matrix called X_xxx. **dataname_transfer.label**: Target values provided for transfer learning only. Multiple labels (1 per column), label values are -1, 0, or 1 (for negative class, unknown, positive class).

dataname_valid.label: Target values, not provided to participants.

dataname_final.label: Target values, not provided to participants.

dataname_xxx.dataid: Identity of the samples (lines of the data matrix).

dataname_xxx.labelid: Identity of the labels (variables that are target values, i.e., columns of the label matrix.)

dataname.classid: strings representing the names of the classes.

The participants will use the following formats results:

dataname_valid.prepro: Preprocessed data send during the development phase. **dataname_final.prepro**: Preprocessed data for the final submission.

Metrics

The data representations are assessed automatically by the evaluation platform connected to this website. To each evaluation set (validation set or final evaluation set) the organizers have assigned several binary classification tasks unknown to the participants. The platform will use the data representations provided by the participants to train a linear classifier (code provided in Appendix A) to solve these tasks.

To that end, the evaluation data (validation set or final evaluation set) are partitioned randomly into a training set and a test set. The parameters of the linear classifier are adjusted using the training set. Then, predictions are made on test data using the trained model. The **Area Under the ROC curve** (AUC) is computed to assess the performance of the linear classifier. The results are averaged over all tasks and over several random splits into a training set and a complementary test set.

The number of training examples is varied and the AUC is plotted against the number of training examples in a log scale (to emphasize the results on small numbers of training examples). The area under the learning curve (ALC) is used as scoring metric to synthesize the results.

The participants are ranked by ALC for each individual dataset. The participants having submitted a **complete experiment** (results on all 5 datasets of the challenge) enter the final ranking. The winner is determined by the best average rank over all datasets for the results of their last complete experiment.

Global Score: The Area under the Learning Curve (ALC)

The prediction performance is evaluated according to the Area under the Learning Curve (ALC). A learning curve plots the **Area Under the ROC curve** (AUC) averaged over all the binary classification tasks and all evaluation data splits. The AUC is the area of the curve that plots the sensitivity (error rate of the "positive class") vs. the specificity (error rate of the "negative class).

We consider two baseline learning curves:

1. The ideal learning curve, obtained when perfect predictions are made (AUC=1). It goes up vertically then follows AUC=1 horizontally. It has the maximum area "Amax".

2. The "lazy" learning curve, obtained by making random predictions (expected value of AUC: 0.5). It follows a straight horizontal line. We call its area "Arand".

To obtain our ranking score displayed in **Mylab** and on the **Leaderboard**, we normalize the ALC as follows:

global_score = (ALC-Arand)/(Amax-Arand)

For simplicity, we call ALC the normalized ALC or global score.

We show in Figure A3 examples of learning curves for the toy example ULE, obtained using the **sample code**. Note that we interpolate linearly between points. The global score depends on how we scale the x-axis. We use a log2 scaling for all datasets.

<u>A -- ULE</u>

This dataset is not part of the challenge. It is given as an example, for illustration purpose, together with ALL the labels.

1) Topic

The task of ULE is handwritten digit recognition.

2) Sources

a. Original owners

The data set was constructed from the MNIST data that is made available by Yann LeCun of the NEC Research Institute at <u>http://yann.lecun.com/exdb/mnist/</u>. The digits have been size-normalized and centered in a fixed-size image of dimension 28x28. We show examples of digits in Figure B1.



Figure A1: Examples of digits from the MNIST database.

|--|

			1		0						
Digit	0	1	2	3	4	5	6	7	8	9	Total
Training	5923	6742	5958	6131	5842	5421	5918	6265	5851	5949	60000
Test	980	1135	1032	1010	982	892	958	1028	974	1009	10000
Total	6903	7877	6990	7141	6824	6313	6876	7293	6825	6958	70000

b. Donor of database

This version of the database was prepared for the "unsupervised and transfer learning challenge" by Isabelle Guyon, 955 Creston Road, Berkeley, CA 94708, USA (isabelle@clopinet.com).

c. <u>Date prepared for the challenge:</u> November 2010.

3) Past usage

Many methods have been tried on the MNIST database, in its original data split (60,000 training examples, 10,000 test examples, 10 classes.) Here is an abbreviated list from http://yann.lecun.com/exdb/mnist/:

METHOD	TEST ERROR RATE (%)
linear classifier (1-layer NN)	12.0
linear classifier (1-layer NN) [deskewing]	8.4
pairwise linear classifier	7.6
K-nearest -neighbors, Euclidean	5.0
K-nearest-neighbors, Euclidean, deskewed	2.4
40 PCA + quadratic classifier	3.3
1000 RBF + linear classifier	3.6
K-NN, Tangent Distance, 16x16	1.1
SVM deg 4 polynomial	1.1
Reduced Set SVM deg 5 polynomial	1.0
Virtual SVM deg 9 poly [distortions]	0.8
2-layer NN, 300 hidden units	4.7
2-layer NN, 300 HU, [distortions]	3.6
2-layer NN, 300 HU, [deskewing]	1.6
2-layer NN, 1000 hidden units	4.5
2-layer NN, 1000 HU, [distortions]	3.8
3-layer NN, 300+100 hidden units	3.05
3-layer NN, 300+100 HU [distortions]	2.5
3-layer NN, 500+150 hidden units	2.95
3-layer NN, 500+150 HU [distortions]	2.45
LeNet-1 [with 16x16 input]	1.7
LeNet-4	1.1
LeNet-4 with K-NN instead of last layer	1.1
LeNet-4 with local learning instead of ll	1.1
LeNet-5, [no distortions]	0.95
LeNet-5, [huge distortions]	0.85
LeNet-5, [distortions]	0.8
Boosted LeNet -4, [distortions]	0.7
K-NN, shape context matching	0.67

 Table A2: Previous results for MNIST (ULE)

This dataset was used in the NIPS 2003 Feature Selection Challenge under the name GISETTE and in the WCCI 2006 Performance Prediction Challenge and the IJCNN 2007 Agnostic Learning vs. Prior Knowledge Challenge under the name GINA.

References:

Gradient-based learning applied to document recognition Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner. Proceedings of the IEEE, 86(11):2278-2324, November 1998.

Result Analysis of the NIPS 2003 Feature Selection Challenge, Isabelle Guyon , Asa Ben Hur , Steve Gunn , Gideon Dror, Advances in Neural Information Processing Systems 17, MIT Press, 2004.

Agnostic Learning vs. Prior Knowledge Challenge, Isabelle Guyon, Amir Saffari, Gideon Dror, and Gavin Cawley, In proceedings IJCNN 2007, Orlando, Florida, August 2007.

Analysis of the IJCNN 2007 Agnostic Learning vs. Prior Knowledge Challenge, Isabelle Guyon, Amir Saffari, Gideon Dror, and Gavin Cawley, Neural Network special anniversary issue, in press. [Earlier draft]

Hand on Pattern Recognition, challenges in data representation, model selection, and performance prediction. Book in preparation. Isabelle Guyon, Gavin Cawley, Gideon Dror, and Amir Saffari Editors.

4) Experimental design

We used the raw data:

- The feature names are the (i,j) matrix coordinates of the pixels (in a 28x28 matrix.)
- The data have gray level values between 0 and 255.
- The validation set and the final test set have approximately even numbers of examples for each class.

5) Number of examples and class distribution

Table A	Table A3: Data statistics for ULE						
Dataset	Domain	Feat. num.	Sparsity (%)	Development num.	Transfer num.	Validation num	Final eval. num.
ULE	Handwriting	784	80.85	26808	10000	4096	4096

Table A3: Data statistics for ULE

All variables are numeric (no categorical variable). There are no missing values. The target variables are categorical. Here is class label composition of the data subsets:

```
Validation set: X[4096, 784] Y[4096, 1]
       One: 1370
       Three: 1372
       Seven: 1354
Final set: X[4096, 784] Y[4096, 1]
      Zero: 1376
       Two: 1373
      Six: 1347
Development set: X[26808, 784] Y[26808, 1]
      Zero: 2047
       One: 2556
       Two: 2089
       Three: 2198
       Four: 3426
       Five: 3179
       Six: 2081
       Seven: 2314
       Eight: 3470
       Nine: 3448
Transfer labels (10000 labels):
```

Four: 2562 Five: 2301 Eight: 2564 Nine: 2573

6) Type of input variables and variable statistics

The variables in raw data are pixels. We also produced baseline results using as variables Gaussian RBF values with 20 cluster centers generated by the Kmeans clustering algorithm. The algorithm was run on the validation set and the final evaluation set separately. The development set and the transfer labels were not used. The cluster centers are shown in Figure A2.

7) Baseline results

We used a linear classifier making independence assumptions between variables, similar to Naïve Bayes, to generate baseline learning curves from raw data and preprocessed data. The normalized ALC (score used in the challenge) are shown in Figures A3 and A4 and summarized in Table A4.

Table A4: Baseline results (normalized ALC for 64 training examples).

ULE	Valid	Final
Raw	0.7905	0.7169
Preprocessed	0.8416	0.3873

Validation set cluster centers



Final evaluation set cluster centers



Iteration5

Iteration5

Iteration5

Iteration5

2



Iteration5

Iteration5

Iteration5

6

Iteration5

0

Iteration5



Iteration5

0

Iteration5

Iteration5

Iteration5





Iteration5

Iteration5

7

Iteration5

Iteration5

Iteration5

Iteration5

3

1

Iteration5











0





Figure A2: Clusters obtained by Kmeans clustering



Figure A3: Baseline results on raw ULE data. Top: valid. set.Bottom: final eval. set.



Figure A4: Baseline results on preprocessed ULE data. Top: validation set. Bottom: final evaluation set.

B - AVICENNA

1) Topic

The AVICENNA dataset provides a feature representation of Arabic Historical Manuscripts.

2) Sources

a. <u>Original owners</u>

The dataset is prepared on manuscript images provided by The Institute of Islamic Studies (IIS), McGill.

Manuscript author: Abu al-Hasan Ali ibn Abi Ali ibn Muhammad al-Amidi (d. 1243 or 1233)

Manuscript title: Kitab Kashf al-tamwihat fi sharh al-Tanbihat (Commentary on Ibn Sina's al-Isharat wa-al-tanbihat)

Brief description: Among the works of Avicenna, his *al-Isharat wa-altanbihat* received the attention of the later scholars more than others. The reception of this work is particularly intensive and widespread in the period between the late twelfth century to the first half of the fourteenth century, when more than a dozen comprehensive commentaries on this work were composed. These commentaries were one of the main ways of approaching, understanding and developing Avicenna's philosophy and therefore any study of Post-Avicennian philosophy needs to pay specific attention to this commentary tradition. *Kashf al-tamwihat fi sharh al-Tanbihat* by Abu al-Hasan Ali ibn Abi Ali ibn Muhammad al-Amidi (d. 1243 or 1233), one of the early commentaries written on *al-Isharat wa-al-tanbihat*, is an unpublished commentary which still await scholars' attention.

a. Donors of the database

Reza Farrahi Moghaddam, Mathias Adankon, Kostyantyn Filonenko, Robert Wisnovsky, and Mohamed Cheriet.

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b. <u>Date received:</u> December 2010

3) Past usage :

Part of the data was used in the active learning challenge (http://clopinet.com/al).

4) Experimental design

The features were extracted following the procedure described in the JMLR W&CP paper: IBN SINA: A database for handwritten Arabic manuscripts understanding research, by Reza Farrahi Moghaddam, Mathias Adankon, Kostyantyn Filonenko, Robert Wisnovsky, and Mohamed Chériet. The original data includes 92 numeric features. We added 28 distracters then rotated the feature space with a random rotation matrix. Finally, the features were quantized and rescaled between 0 and 999.

5) Data statistics

Tuble D								
		Feat.	Sparsity	Development	Transfer	Validation	Final Eval.	
Dataset	Domain	num.	(%)	num.	num.	num.	num.	
	Arabic							
AVICENNA	manuscripts	120	0	150205	50000	4096	4096	

Table B1: Data statistics for AVICENNA.

Table D2. Original feature statistics						
Name	Туре	Min	Max	Num val		
Aspect_ratio	continuous	0	999	395		
Horizontal_frequency	ordinal	1	13	13		
Vertical_CM_ratio	continuous	0	999	539		
Singular_points	continuous	0	238	51		
Height_ratio	continuous	0	999	163		
Hole_feature	binary	0	1	2		
End_points	continuous	0	72	43		
Dot_feature	binary	0	1	2		
BP_hole_1	binary	0	1	2		
BP_EP_1	binary	0	1	2		
BP_BP_1	binary	0	1	2		
BP_hole_2	binary	0	1	2		
BP_EP_2	binary	0	1	2		
BP_BP_2	binary	0	1	2		
BP_hole_3	binary	0	1	2		
BP_EP_3	binary	0	1	2		
BP_BP_3	binary	0	1	2		
BP_hole_4	binary	0	1	2		
BP_EP_4	binary	0	1	2		
BP_BP_4	binary	0	1	2		
BP_hole_5	binary	0	1	2		
BP_EP_5	binary	0	1	2		
BP_BP_5	binary	0	1	2		
BP_hole_6	binary	0	1	2		
BP_EP_6	binary	0	1	2		
BP BP 6	binary	0	1	2		

Table B2: Original feature statistics

EP_BP_1	binary	0	1	2
EP_EP_1	binary	0	1	2
EP_VCM_1	ordinal	0	2	3
EP_BP_2	binary	0	1	2
EP_EP_2	binary	0	1	2
EP_VCM_2	ordinal	0	2	3
EP_BP_3	binary	0	1	2
EP_EP_3	binary	0	1	2
EP_VCM_3	ordinal	0	2	3
EP_BP_4	binary	0	1	2
EP_EP_4	binary	0	1	2
EP_VCM_4	ordinal	0	2	3
EP_BP_5	binary	0	1	2
EP_EP_5	binary	0	1	2
EP_VCM_5	ordinal	0	2	3
EP_BP_6	binary	0	1	2
EP_EP_6	binary	0	1	2
EP_VCM_6	ordinal	0	2	3
BP_dot_UP_1	binary	0	1	2
BP_dot_DOWN_1	binary	0	1	2
BP_dot_UP_2	binary	0	1	2
BP_dot_DOWN_2	binary	0	1	2
BP_dot_UP_3	binary	0	1	2
BP_dot_DOWN_3	binary	0	1	2
BP_dot_UP_4	binary	0	1	2
BP_dot_DOWN_4	binary	0	1	2
BP_dot_UP_5	binary	0	1	2
BP_dot_DOWN_5	binary	0	1	2
BP_dot_UP_6	binary	0	1	2
BP_dot_DOWN_6	binary	0	1	2
EP_dot_1	binary	0	1	2
EP_dot_2	binary	0	1	2
EP_dot_3	binary	0	1	2
EP_dot_4	binary	0	1	2
EP_dot_5	binary	0	1	2
EP_dot_6	binary	0	1	2
Dot_dot_1	binary	0	1	2
Dot_dot_2	binary	0	1	2
Dot_dot_3	binary	0	1	2
Dot_dot_4	binary	0	1	2
Dot_dot_5	binary	0	1	2

Dot_dot_6	binary	0	1	2
EP_S_Shape_1	ordinal	0	2	3
EP_clock_1	ordinal	0	3	4
EP_UP_BP_1	binary	0	1	2
EP_DOWN_BP_1	binary	0	1	2
EP_S_Shape_2	ordinal	0	2	3
EP_clock_2	ordinal	0	3	4
EP_UP_BP_2	binary	0	1	2
EP_DOWN_BP_2	binary	0	1	2
EP_S_Shape_3	ordinal	0	2	3
EP_clock_3	ordinal	0	3	4
EP_UP_BP_3	binary	0	1	2
EP_DOWN_BP_3	binary	0	1	2
EP_S_Shape_4	ordinal	0	2	3
EP_clock_4	ordinal	0	3	4
EP_UP_BP_4	binary	0	1	2
EP_DOWN_BP_4	binary	0	1	2
EP_S_Shape_5	ordinal	0	2	3
EP_clock_5	ordinal	0	3	4
EP_UP_BP_5	binary	0	1	2
EP_DOWN_BP_5	binary	0	1	2
EP_S_Shape_6	ordinal	0	2	3
EP_clock_6	ordinal	0	3	4
EP_UP_BP_6	binary	0	1	2
EP_DOWN_BP_6	binary	0	1	2

There are no missing values. The data were split as follows:

```
Validation set: X[4096, 120] Y[4096, 5]
EU: 1113
HU: 875
bL: 1105
jL: 837
tL: 1110
Final set: X[4096, 120] Y[4096, 5]
dL: 966
hL: 1188
kL: 896
qL: 982
sL: 863
Development set: X[150205, 120] Y[150205, 52]
AU: 7
BU: 2
```

CU: DU: FU: FU: HU: JU: KU: SU: VU: VU: VU: VU: VU: VU: VU: VU: VU: V	177347122506672552871824777372321616272193462567220474225696935483272216345947582762270458236032171497504685579201416	
Transfer aL: lL: rL: vL: yL:	labels 25610 15407 4301 9152 8687	(50000

labels):

6) Baseline results

We show first the ridge regression performances obtained by separating one class vs. the rest, training and testing on a balanced subset of examples.

Class	50 xL = 619 patterns AUC=0.9411
Class	36 - jL = 1350 patterns AUC=0.9168
Class	19 SU = 958 patterns AUC=0.9135
Class	49 - WL = 534 patterns AUC=0.9134
Class	30 - dL = 3477 patterns - AUC=0.9080
Class	20 - TU = 470 patterns AUC=0.9078
Class	4 - DII = 849 patterns - AUC=0.9045
Class	45 - sL = 1274 patterns AUC=0.8987
Class	$52 - z_{\rm L} = 537$ patterns AUC=0.8961
Class	$37 - k_{\rm L} = 3734$ patterns AUC=0.8861
Class	$48 - v_{\rm L} = 10828$ patterns - AUC=0.8766
Class	34 - hL = 8677 patterns - AUC=0.8709
Class	17 - 0U = 194 patterns AUC=0.8668
Class	11 - KII = 597 patterns - AUC=0 8584
Clagg	8 HII = 1450 patterns AUC=0.8555
Class	28 - bL = 4858 patterns - AUC=0.8543
Clagg	5 EII = 6103 patterns AUC=0.8491
Class	29 - CL = 677 patterns AUC=0.8472
Class	$46 - t_{\rm L} = 4672$ patterns - AUC=0.8434
Class	$27 - a_{\rm L} = 29217$ patterns AUC=0.8399
Class	43 - gL = 3437 patterns AUC=0.8384
Class	51 - vL = 10939 patterns - AUC=0.8342
Class	24 - XU = 180 patterns AUC=0.8270
Class	44 - rL = 5080 patterns AUC=0.8221
Class	40 - nL = 9209 patterns - AUC=0.8172
Class	38 1L = 18869 patterns AUC=0.8138
Class	39 mL = 10833 patterns AUC=0.7895
Class	32 fL = 4709 patterns AUC=0.7771
Class	1 AU = 10 patterns AUC=0.5000
Class	2 BU = 2 patterns AUC=0.5000
Class	3 CU = 1 patterns AUC=0.5000
Class	6 FU = 3 patterns AUC=0.5000
Class	7 GU = 0 patterns AUC=0.5000
Class	10 JU = 2 patterns AUC=0.5000
Class	12 LU = 8 patterns AUC=0.5000
Class	13 MU = 1 patterns AUC=0.5000
Class	14 NU = 8 patterns AUC=0.5000
Class	15 OU = 0 patterns AUC=0.5000
Class	16 PU = 0 patterns AUC=0.5000
Class	18 RU = 6 patterns AUC=0.5000
Class	21 UU = 0 patterns AUC=0.5000
Class	22 VU = 5 patterns AUC=0.5000
Class	23 WU = 2 patterns AUC=0.5000
Class	25 YU = 8 patterns AUC=0.5000
Class	26 ZU = 0 patterns AUC=0.5000
Class	31 eL = 7 patterns AUC=0.5000

```
Class 33 -- gL = 0 patterns -- AUC=0.5000

Class 35 -- iL = 41 patterns -- AUC=0.5000

Class 41 -- oL = 0 patterns -- AUC=0.5000

Class 42 -- pL = 0 patterns -- AUC=0.5000

Class 47 -- uL = 16 patterns -- AUC=0.5000

Class 9 -- IU = 79 patterns -- AUC=0.0385
```

The performances of ridge regression are rather good on the classes selected for validation and final testing, when training and testing on a balanced subset of examples $(1/2 \text{ of the examples ending up in the training set an }\frac{1}{2} \text{ in the test set})$: Validation set:

```
Class 4 -- DU = 837 patterns -- AUC=0.8802

Class 2 -- BU = 875 patterns -- AUC=0.8193

Class 3 -- CU = 1105 patterns -- AUC=0.8172

Class 5 -- EU = 1110 patterns -- AUC=0.7938

Class 1 -- AU = 1113 patterns -- AUC=0.7470

Final evaluation set:

Class 1 -- AU = 966 patterns -- AUC=0.9348

Class 3 -- CU = 896 patterns -- AUC=0.8910

Class 2 -- BU = 1188 patterns -- AUC=0.8663

Class 5 -- EU = 863 patterns -- AUC=0.8336

Class 4 -- DU = 982 patterns -- AUC=0.7712
```

However, when we make learning curves, the classes are not well balanced and the number of training examples is small, so the performances are not as good. We show results on raw data in Figure B1. The baseline results obtained by preprocessing with K-means clustering are even worse. Note that we verified that rotating the space and quantizing does not harm performance. The baseline results indicate that this dataset is much harder than ULE.

	•	0
AVICENNA	Valid	Final
Raw	0.1034	0.1501
Preprocessed	0.0856	0.0973

Table B3: Baseline results (normalized ALC for 64 training examples).



Figure B1: Baseline results on raw data (top valid, bottom final).

C -- HARRY

1) Topic

The task of HARRY (Human Action Recognition) is action recognition in movies.



2) Sources

a. Original owners

Ivan Laptev and Barbara Caputo collected and made publicly available the **KTH human action recognition datasets**. Marcin Marszalek, Ivan Laptev and Cordelia Schmid collected and made publicly available the **Hollywood 2** dataset of human actions and scenes.

We are grateful to Graham Taylor for providing us with the data in preprocessed STIP feature format and for providing Matlab code to read the format and create a bag-of-STIP-features representation.

b. Donor of database

This version of the database was prepared for the "unsupervised and transfer learning challenge" by Isabelle Guyon, 955 Creston Road, Berkeley, CA 94708, USA (isabelle@clopinet.com).

c. <u>Date prepared for the challenge</u>: November-December 2010.

3) Past usage

The original <u>Hollywood-2</u> dataset contains 12 classes of human actions and 10 classes of scenes distributed over 3669 video clips and approximately 20.1 hours of video in total. The dataset intends to provide a comprehensive benchmark for human action recognition in realistic and challenging settings. The dataset is composed of video clips extracted from 69 movies, it contains approximately 150 samples per action class and 130 samples per scene class in training and test subsets. A part of this dataset was originally used in the paper <u>"Actions in Context", Marszalek et al. in Proc. CVPR'09</u>. Hollywood-2 is an extension of the earlier <u>Hollywood</u> dataset.

The feature representation called STIP on which we based the preprocessing have been successfully used for action recognition in the paper <u>"Learning Realistic Human Actions from Movies"</u>, Ivan Laptev, Marcin Marszalek, Cordelia Schmid and Benjamin Rozenfeld; in Proc. CVPR'08. See also the on-line paper description <u>http://www.irisa.fr/vista/actions/</u>.

The results on classifying KTH actions reported by the authors are:

Method	Schuldt et al. [icpr04]	Niebles et al. [bmvc06]	Wong et al. [iccv07]	ours
Accuracy	71.7%	81.5%	86.7%	91.8%

And those from Hollywood movie actions are:

	Clean	Automatic	Chance
AnswerPhone	32.1%	16.4%	10.6%
GetOutCar	41.5%	16.4%	6.0%
HandShake	32.3%	9.9%	8.8%
HugPerson	40.6%	26.8%	10.1%
Kiss	53.3%	45.1%	23.5%
SitDown	38.6%	24.8%	13.8%
SitUp	18.2%	10.4%	4.6%
StandUp	50.5%	33.6%	22.6%

The Automatic training set was constructed using automatic action annotation based on movie scripts and contains over 60% correct action labels. The Clean training set was obtained by manually correcting the Automatic set.

4) Experimental design

The data were preprocessed into STIP features using the code of Ivan Laptev: <u>http://www.irisa.fr/vista/Equipe/People/Laptev/download/stip-1.0-winlinux.zip</u>. The STIP features are described in:

"**On Space-Time Interest Points**" (2005), I. Laptev; in *International Journal of Computer Vision*, vol 64, number 2/3, pp.107-123.

This yielded both HOG and HOF features for every video frame (in the original format, there are 6 ints followed by 1 float confidence value followed by 162 float HOG/HOF features). The code does not implement scale selection, Instead interest points are detected at multiple spatial and temporal scales. The implemented descriptors HOG (Histograms of Oriented Gradients) and HOF (Histograms of Optical Flow) are computed for 3D video patches in the neighborhood of detected STIPs.

The final representation is a "bag of STIP features". The vectors of HOG/HOF features were clustered into 5000 clusters (we used the KTH data for clustering), using on on-line version of the kmeans algorithm. Each video frame was then assigned to its closest cluster center. We obtained a sparse representation of 5000 features, each feature representing the frequency of presence of a given STIP feature cluster center in a video clip.

To create a large dataset of video examples, the original videos were cut in smaller clips: Each Hollywood2 movie clip was further split into 40 subsequences and each KTH movie clip was further split into 4 subsequences. Not normalization for sequence length was performed.

5) Data statistics

Table C1: Da	ita statistics	for HARRY
--------------	----------------	-----------

Dataset	Domain	Feat.	Sparsity	Development	Transfer	Validation	Final eval.
Dataset	Domain	mum.	(70)	num.	mann.	num	num.
HARRY	Human Action Recognition	5000	98.12	69652	20000	4096	4096

All variables are numeric (no categorical variable). There are no missing values. The target variables are categorical. The patterns and categories selected for the validation and final evaluation sets are all from the KTH dataset. Here is class label composition of the data subsets:

```
Validation set: X[4096, 5000] Y[4096, 3]
     boxing: 1370
     handclapping: 1377
     jogging: 1349
Final set: X[4096, 5000] Y[4096, 3]
     handwaving: 1360
     running: 1369
     walking: 1367
Development set: X[69652, 5000] Y[69652, 18]
     boxing: 218
     handclapping: 207
     handwaving: 232
     jogging: 251
     running: 231
     walking: 233
     AnswerPhone: 5200
     DriveCar: 7480
     Eat: 2920
     FightPerson: 4960
     GetOutCar: 4320
     HandShake: 3080
     HugPerson: 5200
     Kiss: 8680
     Run: 11040
     SitDown: 8480
     SitUp: 2440
     StandUp: 11120
Transfer labels (20000 labels):
     DriveCar: 5831
     Eat: 2213
     FightPerson: 3847
     Run: 8547
```

6) Baseline results

The data were preprocessed with kmeans clustering as described in Section A.

Table C2: Baseline results (normalized ALC for 64 training examples).

HARRY	Valid	Final
Raw	0.6264	0.6017
Preprocessed	0.2230	0.2292



Figure C1: Baseline results on raw data (top valid, bottom final).

<u>D -- RITA</u>

1) Topic

The task of RITA (Recognition of Images of Tiny Area) is object recognition.



2) Sources

a. Original owners

Antonio Torralba, Rob Fergus, and William T. Freeman, collected and made available publicly the **80 million tiny image dataset**. Vinod Nair and Geoffrey Hinton collected and made available publicly the **CIFAR datasets**.

b. Donor of database

This version of the database was prepared for the "unsupervised and transfer learning challenge" by Isabelle Guyon, 955 Creston Road, Berkeley, CA 94708, USA (isabelle@clopinet.com).

c. <u>Date prepared for the challenge:</u> November 2010.

3) Past usage

Learning Multiple Layers of Features from Tiny Images, by Alex Krizhevsky, Master thesis, Univ. Toronto, 2009.

<u>Semi-Supervised Learning in Gigantic Image Collections</u>, Rob Fergus, Yair Weiss and Antonio Torralba, *Advances in Neural Information Processing Systems (NIPS)*. See also many other citations of CIFAR-10 and CIFAR-100 on Google.

4) Experimental design

We merged the CIFAR-10 and the CIFAR-100 datasets. The CIFAR-10 dataset consists of 60000 32x32 colour images in 10 classes, with 6000 images per class. The original categories are:

airplane automobile bird cat deer

dog

frog horse ship truck

The CIFAR-100 dataset is similar to the CIFAR-10, except that it has 100 classes containing 600 images each. The 100 classes in the CIFAR-100 are grouped into 20 superclasses. Each image comes with a "fine" label (the class to which it belongs) and a "coarse" label (the superclass to which it belongs).

Here is the list of classes in the CIFAR-100:

Superclass	Classes
fish	aquarium fish, flatfish, ray, shark, trout
flowers	orchids, poppies, roses, sunflowers, tulips
food containers	bottles, bowls, cans, cups, plates
fruit and vegetables	apples, mushrooms, oranges, pears, sweet peppers
household electrical devices	clock, computer keyboard, lamp, telephone, television
household furniture	bed, chair, couch, table, wardrobe
insects	bee, beetle, butterfly, caterpillar, cockroach
large carnivores	bear, leopard, lion, tiger, wolf
large man-made outdoor things	bridge, castle, house, road, skyscraper
large natural outdoor scenes	cloud, forest, mountain, plain, sea
large omnivores and herbivores	camel, cattle, chimpanzee, elephant, kangaroo
medium-sized mammals	fox, porcupine, possum, raccoon, skunk
non-insect invertebrates	crab, lobster, snail, spider, worm
people	baby, boy, girl, man, woman
reptiles	crocodile, dinosaur, lizard, snake, turtle
small mammals	hamster, mouse, rabbit, shrew, squirrel
trees	maple, oak, palm, pine, willow
vehicles 1	bicycle, bus, motorcycle, pickup truck, train
vehicles 2	lawn-mower, rocket, streetcar, tank, tractor

The raw data came as 32x32 tiny images coded with 8-bit RGB colors (i.e. 3×32 features with 256 possible values). We converted RGB to HSV and quantized the results as 8-bit integers. This yielded 30x30x3=900*3 features. We then preprocessed the gray level image to extract edges. This yielded 30x30 features (1 border pixel was removed). We then cut the images into patches of 10x10 pixels and ran kmeans clustering (an online version) to create 144 cluster centers. We used these cluster centers as a dictionary to create features corresponding to the presence of one the 144 shapes at one of 25 positions on a grid. This created another 144*25=3600 features.



Figure D1: 144 cluster centers computed from patches of line images.



Figure D2: Example of tiny image.



Figure D3: Image represented by Hue, Saturation, Value, and Edges (3600 features). We computed another 3600 features from the edge image using the matched filters computed by clustering.

5) Data statistics Table C1: Data statistics for RITA

		Feat.	Sparsity	Development	Transfer	Validation	Final eval.
Dataset	Domain	num.	(%)	num.	num.	num	num.
RITA	Object recognition	7200	1.19	111808	24000	4096	4096

All variables are numeric (no categorical variable). There are no missing values. The target variables are categorical. All the categories of the validation and final evaluation sets are from the CIFAR-10 dataset. Here is class label composition of the data subsets:

```
Validation set: X[4096, 7200] Y[4096, 3]
automobile: 1330
horse: 1377
truck: 1389
Final set: X[4096, 7200] Y[4096, 3]
airplane: 1384
frog: 1370
ship: 1342
Development set: X[111808, 7200] Y[111808, 110]
```

airplane: 4616 automobile: 4670 bird: 6000 cat: 6000 deer: 6000 dog: 6000 frog: 4630 horse: 4623 ship: 4658 truck: 4611 fruit_and_vegetables.apple: 600 fish.aquarium_fish: 600 people.baby: 600 large carnivores.bear: 600 aquatic mammals.beaver: 600 household furniture.bed: 600 insects.bee: 600 insects.beetle: 600 vehicles_1.bicycle: 600 food containers.bottle: 600 food containers.bowl: 600 people.boy: 600 large_man-made_outdoor_things.bridge: 600 vehicles 1.bus: 600 insects.butterfly: 600 large_omnivores_and_herbivores.camel: 600 food containers.can: 600 large man-made outdoor things.castle: 600 insects.caterpillar: 600 large_omnivores_and_herbivores.cattle: 600 household_furniture.chair: 600 large omnivores and herbivores.chimpanzee: 600 household electrical devices.clock: 600 large_natural_outdoor_scenes.cloud: 600 insects.cockroach: 600 household furniture.couch: 600 non-insect_invertebrates.crab: 600 reptiles.crocodile: 600 food containers.cup: 600 reptiles.dinosaur: 600 aquatic_mammals.dolphin: 600 large_omnivores_and_herbivores.elephant: 600 fish.flatfish: 600 large_natural_outdoor_scenes.forest: 600 medium mammals.fox: 600 people.girl: 600 small mammals.hamster: 600 large_man-made_outdoor_things.house: 600 large omnivores and herbivores.kangaroo: 600 household_electrical_devices.keyboard: 600 household electrical devices.lamp: 600 vehicles_2.lawn_mower: 600

large_carnivores.leopard: 600 large carnivores.lion: 600 reptiles.lizard: 600 non-insect_invertebrates.lobster: 600 people.man: 600 trees.maple tree: 600 vehicles 1.motorcycle: 600 large_natural_outdoor_scenes.mountain: 600 small mammals.mouse: 600 fruit and vegetables.mushroom: 600 trees.oak_tree: 600 fruit_and_vegetables.orange: 600 flowers.orchid: 600 aquatic mammals.otter: 600 trees.palm tree: 600 fruit_and_vegetables.pear: 600 vehicles 1.pickup truck: 600 trees.pine_tree: 600 large_natural_outdoor_scenes.plain: 600 food containers.plate: 600 flowers.poppy: 600 medium_mammals.porcupine: 600 medium mammals.possum: 600 small mammals.rabbit: 600 medium mammals.raccoon: 600 fish.ray: 600 large_man-made_outdoor_things.road: 600 vehicles 2.rocket: 600 flowers.rose: 600 large_natural_outdoor_scenes.sea: 600 aquatic_mammals.seal: 600 fish.shark: 600 small mammals.shrew: 600 medium_mammals.skunk: 600 large man-made outdoor things.skyscraper: 600 non-insect invertebrates.snail: 600 reptiles.snake: 600 non-insect_invertebrates.spider: 600 small mammals.squirrel: 600 vehicles 2.streetcar: 600 flowers.sunflower: 600 fruit_and_vegetables.sweet_pepper: 600 household furniture.table: 600 vehicles_2.tank: 600 household_electrical_devices.telephone: 600 household electrical devices.television: 600 large carnivores.tiger: 600 vehicles_2.tractor: 600 vehicles 1.train: 600 fish.trout: 600 flowers.tulip: 600 reptiles.turtle: 600

```
household_furniture.wardrobe: 600
aquatic_mammals.whale: 600
trees.willow_tree: 600
large_carnivores.wolf: 600
people.woman: 600
non-insect_invertebrates.worm: 600
Transfer labels (24000 labels):
    bird: 6000
    cat: 6000
    deer: 6000
```

dog: 6000

6) **Baseline results**

The data were preprocessed with kmeans clustering as described in Section A.

Table D2: Baseline results (normalized ALC for 64 training examples).

RITA	Valid	Final
Raw	0.2504	0.4133
Preprocessed	0.2417	0.3413





Figure D4: Baseline results on preprocessed data (top valid, bottom final).

E- SYLVESTER

1) Topic

The task of SYLVESTER is to classify forest cover types. The task was carved out of data from the US Forest Service (USFS). The data include 7 labels corresponding to forest cover types. We used 2 for transfer learning (training), 2 for validation and 3 for testing.

2) Sources

a. <u>Original owners</u> Remote Sensing and GIS Program Department of Forest Sciences College of Natural Resources Colorado State University Fort Collins, CO 80523

(contact Jock A. Blackard, jblackard/wo_ftcol@fs.fed.us or Dr. Denis J. Dean, <u>denis@cnr.colostate.edu</u>) Jock A. Blackard USDA Forest Service 3825 E. Mulberry Fort Collins, CO 80524 USA jblackard/wo_ftcol@fs.fed.us

Dr. Denis J. Dean Associate Professor Department of Forest Sciences Colorado State University Fort Collins, CO 80523 USA denis@cnr.colostate.edu

Dr. Charles W. Anderson Associate Professor Department of Computer Science Colorado State University Fort Collins, CO 80523 USA anderson@cs.colostate.edu

Acknowledgements, Copyright Information, and Availability

Reuse of this database is unlimited with retention of copyright notice for Jock A. Blackard and Colorado State University.

b. Donor of database

This version of the database was prepared for the "unsupervised and transfer learning challenge" by Isabelle Guyon, 955 Creston Road, Berkeley, CA 94708, USA (isabelle@clopinet.com).

- c. <u>Date received (original data)</u>: August 28, 1998, UCI Machine Learning Repository, under the name Forest Cover Type.
- d. Date prepared for the challenge: September-November 2010.

3) Past usage

Blackard, Jock A. 1998. "Comparison of Neural Networks and Discriminant Analysis in Predicting Forest Cover Types." Ph.D. dissertation. Department of Forest Sciences. Colorado State University. Fort Collins, Colorado.

Classification performance with first 11,340 records used for training data, next 3,780 records used for validation data, and last 565,892 records used for testing data subset: --70% backpropagation -- 58% Linear Discriminant Analysis.

The subtask SYLVA prepared for the "performance prediction challenge" and the "agnostic learning vs. prior knowledge" (ALvsPK) challenge is a 2-class classification problem (Ponderosa pine vs. others). The best results were obtained with Logitboost by Roman Lutz who obtained 0.4% error in the PK track and 0.6% error in the AL track. See <u>http://clopinet.com/isabelle/Projects/agnostic/Results.html</u>. The data were also used in the "active learning challenge" under the name "SYLVA" during the development phase and "F" (for FOREST) during the final test phase. The best entrants (Intel team) obtained a 0.8 area under the learning curve, see

http://www.causality.inf.ethz.ch/activelearning.php?page=results.

4) Experimental design

The original data comprises a total of 581012 instances (observations) grouped in 7 classes (forest cover types) and having 54 attributes (features) corresponding to 12 measures (10 quantitative variables, 4 binary wilderness areas and 40 binary soil type variables). The actual forest cover type for a given observation (30 x 30 meter cell) was determined from US Forest Service (USFS) Region 2 Resource Information System (RIS) data. Independent variables were derived from data originally obtained from US Geological Survey (USGS) and USFS data. Data is in raw form (not scaled) and contains binary (0 or 1) columns of data for qualitative independent variables (wilderness areas and soil types).

Variable Information

Given are the variable name, variable type, the measurement unit and a brief description. The forest cover type is the classification problem. The order of this listing corresponds to the order of numerals along the rows of the database.

Data Type Measurement	Description
quantitative meters	Elevation in meters
quantitative azimuth	Aspect in degrees azimuth
quantitative degrees	Slope in degrees
quantitative meters	Horz Dist to nearest surface water features
quantitative meters	Vert Dist to nearest surface water features
quantitative meters	Horz Dist to nearest roadway
quantitative 0 to 255 index	Hillshade index at 9am, summer solstice
	Data Type Measurement quantitative meters quantitative azimuth quantitative degrees quantitative meters quantitative meters quantitative meters quantitative 0 to 255 index

Hillshade_Noon	quantitative	0 to 255 index	Hillshade index at n	oon, summer soltice
Hillshade_3pm	quantitative	0 to 255 index	Hillshade index at 3	pm, summer solstice
Horizontal_Distance_To_Fire_Points	quantitative	meters	Horz Dist to nearest	wildfire ignition
points				
Wilderness_Area (4 binary columns)	qualitative	0 (absence) or 1 (presence	e) Wilder	ness area designation
Soil_Type (40 binary columns)	qualitative	0 (absence) or 1 (presence	e) Soil Ty	pe designation
Cover_Type (7 types)	integer	1 to 7	Forest Cover Type of	lesignation

Code Designations

Wilderness Areas:

- 1 -- Rawah Wilderness Area
- 2 -- Neota Wilderness Area
- 3 -- Comanche Peak Wilderness Area
- 4 -- Cache la Poudre Wilderness Area

Soil Types:

1 to 40 : based on the USFS Ecological Landtype Units for this study area.

- Forest Cover Types:
 - 1 -- Spruce/Fir
 - 2 -- Lodgepole Pine
 - 3 -- Ponderosa Pine
 - 4 -- Cottonwood/Willow
 - 5 -- Aspen
 - 6 -- Douglas-fir
 - 7 Krummholz

Class Distribution

Number of records of Spruce-Fir:	211840
Number of records of Lodgepole Pine:	283301
Number of records of Ponderosa Pine:	35754
Number of records of Cottonwood/Willow:	2747
Number of records of Aspen:	9493
Number of records of Douglas-fir:	17367
Number of records of Krummholz:	20510
Total records:	581012

Data preprocessing and data split

We mixed mixed the classes to get approximately the same error rate in baseline results on the validation set and the final evaluation set.

We used the original data encoding from the data donors, transformed by an invertible linear transform (an isometry). To make it even harder to go back to the original data, non-informative features (distractors) were added, corresponding to randomly permuted column values of the original features, before applying the isometry. We then randomized the order of the features and patterns. We quantized the values between 0 and 999.

5) Number of examples and class distribution Table E1: Statistics on the SYLVESTER data

Dataset	Domain	Feat. type	Feat. num.	Sparsity (%)	Sparsity Develop (%) Label num.		Transfer Validatio		Final eval.			
SYLVESTER	Ecology	Numeric	100	0	Binary	572820	10000	4096	4096			

There are no missing values. Here is class label composition of the data subsets:

Validation set: X[4096, 100] Y[4096, 1] Ponderosa Pine: 2044 Aspen: 2052

Final set: X[4096, 100] Y[4096, 1] Spruce/Fir: 1319 Douglas-fir: 1404 Krummholz: 1373

Development set: X[572820, 100] Y[572820, 1] Spruce/Fir: 210521 Lodgepole Pine: 283301 Ponderosa Pine: 33710 Cottonwood/Willow: 2747 Aspen: 7441 Douglas-fir: 15963 Krummholz: 19137

Transfer labels (10000 labels): Lodgepole Pine: 9891 Cottonwood/Willow: 109

6) Type of input variables and variable statistics

100 numeric variables transformed via a random isometry from the raw input variables to which 46 distractors were added. The distractors were obtained by picking real variables and randomizing the order of the values. The final variables were quantized between 0 and 999.

7) Baseline results

We show results using our baseline classifier shown in appendix. The prepreprocessing in kmeans clustering (20 clusters).

Table E2: Baseline results (normalized ALC for 64 training examples).

SYLVESTER	Valid	Final
Raw	0.2167	0.3095
Preprocessed	0.1670	0.2362



Figure E1: Baseline results on raw data (top valid, bottom final).

F -- TERRY

1) Topic

The task of TERRY is the Text Recognition dataset.

2) Sources

a. Original owners

The data were donated by Reuters and downloaded from: Lewis, D. D. RCV1v2/LYRL2004: The LYRL2004 Distribution of the RCV1-v2 Text Categorization Test Collection (12-Apr-2004 Version). http://www.jmlr.org/papers/volume5/lewis04a/lyrl2004 rcv1v2 README.htm.

b. Donor of database

This version of the database was prepared for the "unsupervised and transfer learning challenge" by Isabelle Guyon, 955 Creston Road, Berkeley, CA 94708, USA (<u>isabelle@clopinet.com</u>).

c. <u>Date prepared for the challenge</u>: November-December 2010.

3) Past usage

Lewis, D. D.; Yang, Y.; Rose, T.; and Li, F. RCV1: A New Benchmark Collection for Text Categorization Research. Journal of Machine Learning Research, 5:361-397, 2004. http://www.jmlr.org/papers/volume5/lewis04a/lewis04a.pdf.

4) Experimental design

We used a subset of the 800,000 documents of the RCV1-v2 data collection, formatted in a bag-of-words representation. The representation uses 47,236 unique stemmed tokens. The representation was obtained from on-line appendix B.13. The list of stems was found in on-line appendix B14. We used as target values the topic categories (on-line appendices 3 and 8). We considered all levels of the hierarchy to select the most promising categories.

The features were obfuscated by making a non-linear transformation of the values then quantizing them between 0 and 999. Further, the raws and lines of the data matrix were permuted.

5) Data statistics

Table C1; Data statistics for TEKKT										
		Feat. Sparsity		Development	Transfer	Validation	Final eval.			
Dataset	Domain	num.	(%)	num.	num.	num	num.			
TERRY	Text recognition	47236	99.84	217034	40000	4096	4096			

Table C1: Data statistics for TERRY

All variables are numeric (no categorical variable). There are no missing values. The target variables are categorical. The data are very sparse, so they were stored in a sparse matrix. Here is class label composition of the data subsets:

```
Validation set: X[4096, 47236] Y[4096, 5]
ENERGY MARKETS: 808
```

EUROPEAN COMMUNITY: 886 PRIVATISATIONS: 817 MANAGEMENT: 863 ENVIRONMENT AND NATURAL WORLD: 826 Final set: X[4096, 47236] Y[4096, 5] SPORTS: 797 CREDIT RATINGS: 804 DISASTERS AND ACCIDENTS: 829 ELECTIONS: 856 LABOUR ISSUES: 829 Development set: X[217034, 47236] Y[217034, 103] STRATEGY/PLANS: 6944 LEGAL/JUDICIAL: 2898 REGULATION/POLICY: 10279 SHARE LISTINGS: 2166 PERFORMANCE: 42290 ACCOUNTS/EARNINGS: 21832 ANNUAL RESULTS: 2243 COMMENT/FORECASTS: 21315 INSOLVENCY/LIQUIDITY: 494 FUNDING/CAPITAL: 11885 SHARE CAPITAL: 5378 BONDS/DEBT ISSUES: 3147 LOANS/CREDITS: 705 CREDIT RATINGS: 1453 **OWNERSHIP CHANGES: 13853** MERGERS/ACQUISITIONS: 11739 ASSET TRANSFERS: 1312 PRIVATISATIONS: 1370 PRODUCTION/SERVICES: 7749 NEW PRODUCTS/SERVICES: 1967 **RESEARCH/DEVELOPMENT: 751** CAPACITY/FACILITIES: 8895 MARKETS/MARKETING: 11832 DOMESTIC MARKETS: 1199 EXTERNAL MARKETS: 1999 MARKET SHARE: 282 ADVERTISING/PROMOTION: 513 CONTRACTS/ORDERS: 4360 DEFENCE CONTRACTS: 339 MONOPOLIES/COMPETITION: 1264 MANAGEMENT: 2245 MANAGEMENT MOVES: 2044 LABOUR: 2971 CORPORATE/INDUSTRIAL: 105241 ECONOMIC PERFORMANCE: 2462 MONETARY/ECONOMIC: 7044 MONEY SUPPLY: 632 INFLATION/PRICES: 1924 CONSUMER PRICES: 1642

WHOLESALE PRICES: 288 CONSUMER FINANCE: 615 PERSONAL INCOME: 84 CONSUMER CREDIT: 63 **RETAIL SALES: 365** GOVERNMENT FINANCE: 12008 EXPENDITURE/REVENUE: 4066 GOVERNMENT BORROWING: 8052 OUTPUT/CAPACITY: 679 INDUSTRIAL PRODUCTION: 482 CAPACITY UTILIZATION: 13 **INVENTORIES: 30** EMPLOYMENT/LABOUR: 4087 UNEMPLOYMENT: 484 TRADE/RESERVES: 6412 BALANCE OF PAYMENTS: 933 MERCHANDISE TRADE: 3994 RESERVES: 546 HOUSING STARTS: 104 LEADING INDICATORS: 1556 ECONOMICS: 33239 EUROPEAN COMMUNITY: 5554 EC INTERNAL MARKET: 945 EC CORPORATE POLICY: 559 EC AGRICULTURE POLICY: 620 EC MONETARY/ECONOMIC: 2219 EC INSTITUTIONS: 561 EC ENVIRONMENT ISSUES: 50 EC COMPETITION/SUBSIDY: 524 EC EXTERNAL RELATIONS: 1142 EC GENERAL: 18 GOVERNMENT/SOCIAL: 63881 CRIME, LAW ENFORCEMENT: 8380 DEFENCE: 2506 INTERNATIONAL RELATIONS: 11105 DISASTERS AND ACCIDENTS: 1488 ARTS, CULTURE, ENTERTAINMENT: 1078 ENVIRONMENT AND NATURAL WORLD: 790 FASHION: 76 HEALTH: 1744 LABOUR ISSUES: 4161 **OBITUARIES: 184** HUMAN INTEREST: 667 DOMESTIC POLITICS: 15654 BIOGRAPHIES, PERSONALITIES, PEOPLE: 1668 RELIGION: 804 SCIENCE AND TECHNOLOGY: 638 SPORTS: 8671 TRAVEL AND TOURISM: 223 WAR, CIVIL WAR: 9323 ELECTIONS: 3539 WEATHER: 821

```
WELFARE, SOCIAL SERVICES: 484
     EOUITY MARKETS: 12424
     BOND MARKETS: 6179
     MONEY MARKETS: 13574
     INTERBANK MARKETS: 7279
     FOREX MARKETS: 6599
     COMMODITY MARKETS: 21557
     SOFT COMMODITIES: 12155
     METALS TRADING: 3092
     ENERGY MARKETS: 5162
     MARKETS: 51279
Transfer labels (40000 labels):
     DOMESTIC POLITICS: 12865
     MONEY MARKETS: 11322
     REGULATION/POLICY: 8508
     GOVERNMENT FINANCE: 9900
```

6) **Baseline results**

The data were preprocessed with kmeans clustering as described in Section A.

-			8 101 0 1 0 10
	TERRY	Valid	Final
	Raw	0.6969	0.7550
	Preprocessed	0.6602	0.3440

Table	C2:	Baseline	results	(normal	ized A	ALC	for (64	training	examp	oles)).
-------	-----	----------	---------	---------	--------	-----	-------	----	----------	-------	-------	----

We see in Table C2 and Figure C1 that the performances in preprocessed data in the final evaluation set are not good. This is another example of preprocessing overfiting: we used the clusters found with the validation set to preprocess the test set.



Figure B1: Baseline results on preprocessed data (top valid, bottom final).

Appendix

```
Code for the linear classifier
function [data, model]=train(model, data)
%[data, model]=train(model, data)
% Simple linear classifier with Hebbian-style learning.
% Inputs:
% model
            -- A hebbian learning object.
% data
           -- A data object.
% Returns:
% model
           -- The trained model.
% data
           -- A new data structure containing the results.
% Usually works best with standardized data. Standardization is not
% performed here for computational reasons (we put it outside the CV
loop).
% Isabelle Guyon -- isabelle@clopinet.com -- November 2010
if model.verbosity>0, fprintf('==> Training Hebbian classifier ... ');
end
Posidx=find(data.Y>0);
Negidx=find(data.Y<0);</pre>
if pd_check(data)
    % Kernelized version
   model.W=zeros(1, length(data.Y));
   model.W(Posidx)=1/(length(Posidx)+eps);
    model.W(Negidx)=-1/(length(Negidx)+eps);
else
   n=size(data.X, 2);
   Mul=zeros(1, n); Mu2=zeros(1, n);
    if ~isempty(Posidx)
        Mul=mean(data.X(Posidx,:), 1);
    end
    if ~isempty(Negidx)
        Mu2=mean(data.X(Negidx,:), 1);
    end
   model.W=Mu1-Mu2;
    B = (Mu1 + Mu2) / 2;
    model.b0=-model.W*B';
end
% Test the model
if model.test_on_training_data
    data=test(model, data);
end
if model.verbosity>0, fprintf('done\n'); end
```